

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0661-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Ad	a or 1995, no person are	required to	respond to a collec				ontroi number.
Effective on 12/08/2004, Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Number 10/784,938-Conf. #9500				
FEE TRANSMITTAL					February 25, 2004		
					Yoshiki Nakagawa		
For FY			B. Lipman				
Applicant claims small entity	status. See 37 CFR 1	.27			1713		
TOTAL AMOUNT OF PAYMEN					21581-00186-	US3	-
		<u> </u>	Attorney Dock	et No.	21001 00100		
METHOD OF PAYMENT (ch	eck all that apply)			-			
Check Credit Card	Money Order	No	ne Other	r (please ident	ify):		
X Deposit Account Deposit Acc	ount Number: 22-0185	Deposit Acc	count Name:	Connolly	y Bove Lodge	& Hutz LLF	>
For the above-identified of	leposit account, the	Director is	hereby authori	ized to: (ched	ck all that apply)	
x Charge fee(s) indic	ated below		Chai	rge fee(s) ind	licated below, e	xcept for the	e filing fee
	nal fee(s) or underpa	ayment of	x Cred	lit any overpa	ayments		
fee(s) under 37 CF	R 1.16 and 1.17				<u> </u>		
1. BASIC FILING, SEARCH, AN	D EXAMINATION F	FFS					
1. BASIS FIEMS, SEARSH, AND	FILING FEES		ARCH FEES	EXAMIN	IATION FEES	5	
Application Type So	Small Entity		Small Entity		Small Entity	Fees Pa	aid (\$)
	<u>e (\$) </u>	Fee (\$	<u>Fee (\$)</u> 250	Fee (\$) 200	<u>Fee (\$)</u> 100	rees re	<u> </u>
	200 100	100	50	130	65	-	
	200 100	300	150	160	80		
	00 150	500	250	600	300		
	200 100	0	0	0	0		
2. EXCESS CLAIM FEES							Small Entity
Fee Description						Fee (\$)	Fee (\$)
Each claim over 20 (including R						50	25
Each independent claim over 3 (including Reissues)					200	100
Multiple dependent claims						360	180
Total Claims Extra Claim		Fee	Pald (\$)		Multiple Dependent Claims		
- 20 =	_ x =			<u>re</u>	e (\$)	Fee Paid (\$)	
Indep. Claims Extra Claim	s Fee (\$)	Fee I	Pald (\$)				-
-3=	_ x =						
3. APPLICATION SIZE FEE							
If the specification and drawing	s exceed 100 sheets	of paper	(excluding elec	ctronically fi	led sequence of	r computer	
listings under 37 CFR 1.52(sheets or fraction thereof. S	ee 35 U.S.C. 41(a)(size ree at 1)(G) and	ie is \$250 (\$12) 37 CFR 1 16(s	o for small e	ntity) for each	additional 50	ı
Total Sheets Extra S					f Fee (\$)	Fee P	aid (\$)
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) - 100 = /50 (round up to a whole number) x =							
4. OTHER FEE(S) Fees Paid (\$)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00							
SUBMITTED BY							
Signature			Registration No. (Attorney/Agent)	24,852	Telephone	(202) 331	1-7111
Name (Print/Type) Burton A. Ame	ernick				Date	April 12,	2006



Docket No.: 21581-00186-US3

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yoshiki Nakagawa

Application No.: 10/784,938

Confirmation No.: 9500

Filed: February 25, 2004

Art Unit: 1713

For:

POLYMERS AND PROCESS FOR

PRODUCING POLYMERS

Examiner: B. Lipman

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 04/13/2006 JADDO1 09000017 220185 10784938 1.97(c)).

Applicant has not submitted a copy of the cited U.S. patent as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Application No.: 10/784,938 Docket No.: 21581-00186-US3

Citation AA is a US counterpart of Citation BA. Citation BC is an International Publication of Citation BB. Also enclosed is an English language abstract of Citation BA.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 22-0185 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 22-0185, under Order No. 21581-00186-US3.

Dated: 442-06

Respectfully submitted,

Burton A. Amernick

Registration No.: 24,852

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Attorney for Applicant



PTO/SB/08a/b (07-05)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/784,938-Conf. #9500	
II.	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 25, 2004	
S				First Named Inventor	Yoshiki Nakagawa	
				Art Unit	1713	
(Use as many sheets as necess ary)			necess ary)	Examiner Name	B. Lipman	
Sheet	1	of	1	Attorney Docket Number	21581-00186-US3	

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AA*	US-5,773,510	06-30-1998	Kazmaier et al.		

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,		
Initials* No.1		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
	ВА	JP-8-269106A	10-15-1996	Xerox Corp		1	
	BB	JP-2001-514697A (abstract)	09-11-2001	Carnegie Mellon University			
	ВС	WO-98/40415A1	09-17-1998	Carnegie Mellon University			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. Applicant's unique citation designation number (optional). * See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pa ge(s), volume-issue number(s), publisher, city and/or country where published.	T²	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	IData	
	Date	
Signature	Considered	

^{&#}x27;Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.